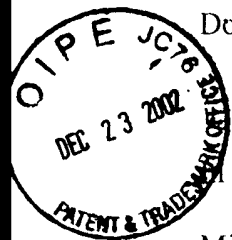


PCT

PATENT

Docket No.: 50212-362



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of

Michiko TAKUSHIMA, et al.

Serial No.: 10/088,300

Filed: March 18, 2002

For: METHOD OF MANUFACTURING OPTICAL LOSS FILTER, AND OPTICAL LOSS FILTER

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: Group Art Unit:
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: Examiner:
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TECHNICAL CENTER 1100
3000
3000

REQUEST FOR OFFICIAL FILING RECEIPT

Commissioner for Patents
Washington, DC 20231

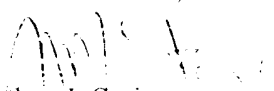
Sir:

The above-referenced patent application was filed in the U.S. Patent and Trademark Office on March 18, 2002, as evidenced by the attached copies of the return receipt postcard and Transmittal Letter. To date, the undersigned has not received an official Filing Receipt regarding this application.

It is respectfully requested that the undersigned be furnished with an official Filing Receipt as soon as possible.

Respectfully submitted,

MCDERMOTT, WILL & EMERY


Arthur J. Steiner
Registration No. 26,106

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Washington, DC 20005-3096
(202) 756-8000 AJS:mcw
Facsimile: (202) 756-8087
Date: December 23, 2002

Applicant: Michiko TAKUSHIMA, et al. Docket No. 50212-362
Title/Mark: METHOD OF MANUFACTURING OPTICAL LOSS FILTER, AND OPTICAL LOSS FILTER Serial/Reg./Patent No. Not Yet Assigned
Date Sent: 03/18/2002 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ Express Mail No
☒ Transmittal Letter
New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☒ PCT ☐ CPA ☐ RCE ☐ Prov
☒ Other: National Phase
45 pages of Specification
6 pages of Claims
1 pages of Abstract
17 pages of Formal Drawings
☐ Small Entity ☒ Large Entity
☐ Declaration/Power of Attorney
☐ Recordation of Assignment/Security Agreement
☒ Information Disclosure Statement
1 Form PTO 1449
0 copies of cited references
☒ Preliminary Amendment
☐ Response to Missing Parts Notice
☐ Resp. to Notice to Correct App. Papers
☐ Certified Copy of Priority Doc.
☐ Claim for Convention Priority
☐ Response/Amendment to Office Action of
☐ Request for month Extension of Time
☐ Letter submitting pages of drawings
☐ Req. for Approval of Drawing Amendments
☐ Req. for Oral Hearing
☐ Not of Appeal ☐ Appeal Brief ☐ Reply Brief
☐ Rule 312 Amendment/Letter
☐ Req. for Acknowledgement of Cited Art
☐ Issue Fee
☐ Publication Fee
☐ Req. for Certificate of Correction
☐ Maintenance Fee for years after grant
☐ Fee Address Indication Form
☐ Terminal Disclaimer
☐ Petition to Commissioner
☐ Status Inquiry
Other International Search Report prepared by the Japanese Patent Office, Front Page of the Published International Application, Form PCT/IB/304, 308
1010K000.0000 18 MAR 2002
Check for \$ ☒ Charge Deposit Acct. 500417 \$ 1020.00 Atty Init. AJS Tkpr. # 4236 Secy. or PL: ML. White
CMS Descr.: 1-890.00 5-130.00 Early Serial Number
THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.

Applicant: Michiko TAKUSHIMA, et al. Docket No. 50212-362
Title/Mark: METHOD OF MANUFACTURING OPTICAL LOSS FILTER, AND OPTICAL LOSS FILTER Serial/Reg./Patent No. Not Yet Assigned
Date Sent: 03/18/2002 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ Express Mail No
☒ Transmittal Letter
New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☒ PCT ☐ CPA ☐ RCE ☐ Prov
☒ Other: National Phase
45 pages of Specification
6 pages of Claims
1 pages of Abstract
17 pages of Formal Drawings
☐ Small Entity ☒ Large Entity
☐ Declaration/Power of Attorney
☐ Recordation of Assignment/Security Agreement
☒ Information Disclosure Statement
1 Form PTO 1449
0 copies of cited references
☒ Preliminary Amendment
☐ Response to Missing Parts Notice
☐ Resp. to Notice to Correct App. Papers
☐ Certified Copy of Priority Doc.
☐ Claim for Convention Priority
☐ Response/Amendment to Office Action of
☐ Request for month Extension of Time
☐ Letter submitting pages of drawings
☐ Req. for Approval of Drawing Amendments
☐ Req. for Oral Hearing
☐ Not of Appeal ☐ Appeal Brief ☐ Reply Brief
☐ Rule 312 Amendment/Letter
☐ Req. for Acknowledgement of Cited Art
☐ Issue Fee
☐ Publication Fee
☐ Req. for Certificate of Correction
☐ Maintenance Fee for years after grant
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Other International Search Report prepared by the Japanese Patent Office, Front Page of the Published International Application, Form PCT/IB/304, 308
OIPF MAR 18 2002
Check for \$ ☒ Charge Deposit Acct. 500417 \$ 1020.00 Atty Init. AJS Tkpr. # 4236 Secy. or PL: ML. White
CMS Descr.: 1-890.00 5-130.00 Early Serial Number
THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

50212-362

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/JP00/06442

September 20, 2000

September 20, 1999

TITLE OF INVENTION

METHOD OF MANUFACTURING OPTICAL LOSS FILTER, AND OPTICAL LOSS FILTER

APPLICANTS FOR DO/EO/US

Michiko TAKUSHIMA, Masakazu SHIGEHARA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendment has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information.
- International Search Report prepared by the Japanese Patent Office
- Front Page of the Published International Application
- Form PCT/IB/304, 308



20277

PATENT TRADEMARK OFFICE

U.S. APPLIC. NO. (if known, see 37 CFR 1.50)		INTERNATIONAL APPLICATION NO. PCT/JP00/06442		ATTORNEY'S DOCKET NUMBER 50212-362	
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				CALCULATIONS	PTO USE ONLY
17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO \$890.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$710.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$740.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,040.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
Claims	Number Filed	Number Extra	Rate		
Total Claims	3 -20 =	0	x \$18.00	\$0.00	
Independent Claims	16 -3 =	0	x \$84.00	\$0.00	
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$0.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$0.00	
SUBTOTAL =				\$1020.00	
Processing fee of \$130.00 for furnishing the English translation later than the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$ 0.00	
TOTAL NATIONAL FEE =				\$1020.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$ 0.00	
TOTAL FEES ENCLOSED =				\$1020.00	
				Amount to be: refunded	\$
				charged	\$1020.00

a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 500417 in the amount of \$ 1020.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 500417. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

McDERMOTT, WILL & EMERY
600 13th Street, N.W.
Washington, DC 20005-3096
(202) 756-8000
Facsimile (202) 756-8087

SIGNATURE

Arthur J. Steiner

NAME

26,106

REGISTRATION NUMBER

March 18, 2002

DATE